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PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/848,674
Filing Date	05/03/2001
First Named Inventor	W. Jayasekara
Examiner Name	Craig A. Renner
Group Art Unit	2652 Technology Center 2600
Total Number of Pages in This Submission	26 + postcard
Attorney Docket Number	SJO920000096US1

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☒ Amendment/Response
- ☐ After Final
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Supplemental Information Disclosure Statement
- ☐ PTO Form 1449
- ☐ () cited reference
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ PTO Form 1533
- ☐ Response to Missing Parts Under 37 CFR 1.52 or 1.53

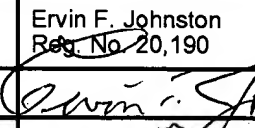
- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Request for Drawing Amendment
- ☐ Licensing-related Papers
- ☐ Petition Checklist and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

POSTCARD

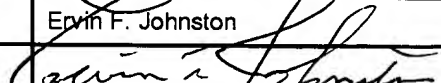
Remarks:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Ervin F. Johnston Reg. No. 20,190
Signature	
Date	November 12, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: November 12, 2003

Typed or printed name	Ervin F. Johnston
Signature	
Date	November 12, 2003

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PTO/SB/17 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective November 10, 1998.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$366.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 50-2587
Deposit Account Name Hitachi Global Storage Technologies

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b)

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee		
Code (\$)	Code (\$)		
101 770	201 385	Utility filing fee	
106 340	206 170	Design filing fee	
107 530	207 265	Plant filing fee	
108 770	208 385	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
52 - 46** = 6	x 18		= 108
Independent Claims			
8 - 5** = 3	x 86		= 258
Multiple Dependent Claims	x		=

** or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee		
Code (\$)	Code (\$)		
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 290	204 145	Multiple dependent claim	
109 86	209 43	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)			366.00

Complete If Known

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First Named Inventor	W. Jayasekara
Examiner Name	Craig A. Renner
Group / Art Unit	2652
Attorney Docket No.	SJO920000096US Technology Center 2600

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee		
Code (\$)	Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	Request for reexamination	
112 920	112 920	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for response within first month	
116 420	216 210	Extension for response within second month	
117 950	217 475	Extension for response within third month	
118 1,480	218 740	Extension for response within fourth month	
128 2,010	228 1,005	Extension for response within fifth month	
119 330	219 165	Notice of Appeal	
120 330	220 165	Filing a brief in support of an appeal	
121 290	221 145	Request for oral hearing	
140 110	240 55	Petition to revive unavoidably abandoned application	
141 1,330	241 665	Petition to revive unintentionally abandoned application	
142 1,330	242 665	Utility issue fee (or reissue)	
143 480	243 240	Design issue fee	
144 640	315 320	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
126 180	126 180	Submission of Information Disclosure Stmt	
146 770	246 385	Filing a submission after final rejection (37 CFR 1.129(a))	
179 770	249 385	Request for continued examination (RCE) (37 CFR 1.114)	

Other fee (specify) Terminal Disclaimer
Other fee (specify) Application for Patent Term Adjustment
Other fee (specify) Certificate of Correction
Other fee (specify)

SUBTOTAL (3) (\$)

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name Ervin F. Johnston

Signature

COMPLETE (if applicable)

Reg. Number 20,190

Date November 12, 2003